



11696 U.S. PTO

Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c)  
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I hereby certify that this paper and/or fee is being deposited with the United States Postal Service  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated  
above and is addressed to the Assistant Commissioner For Patents, P.O. Box 1450, Alexandria 22313-1450  
Name: Laurie de Leon 03/11/04  
Signature Date

17510 U.S. PTO  
10/799040



031104

Docket No.: LUX-P026

## APPLICATION TRANSMITTAL LETTER

Commissioner of Patents  
P.O. Box 1450.  
Alexandria, VA 22313-1450

### ATTN: MAIL STOP PATENT APPLICATION

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Gunn III, et al.

Entitled: "FIBER TO CHIP COUPLER"

25 No. pages of specification, including title page, claims and abstract.  
4 No. sheets of X informal,      formal drawings

Also enclosed are:

- ☒ Executed Combined Declaration and Power of Attorney for Patent Application
- ☒ An Original Executed Assignment of the Application
- ☒ Form PTO-1595 (Recordation Cover Sheet for Assignment)
- ☒ Request and Certification Form PTO/SB/35 (11-00)
- ☒ Application Data Sheet ( 5 sheets)

### FEES DUE

☒ Applicant Claims Small Entity Status (37 CFR 1.27)

The fees due for filing the application pursuant to 37 C.F.R. 1.16 and for recording the Assignment, if any, are determined as follow:

CLAIMS					
	No. of Claims		Extra Claims	Rate	Fees
Basic Application Fee (\$770.00 large entity; \$385 small entity)					\$ 385.00
Total Claims	39	Minus 20 =	19	X \$18 =	
				X \$ 9 (small) =	171.00
Total Independent Claims	2	Minus 3 =	0	X \$86 =	0.00
				X \$43 (small) =	
If Multiple Dependent Claims are presented, add \$290.00 or \$145.00(small)					
If Assignment enclosed, add Assignment Recording Fee \$40.00					40.00
TOTAL APPLICATION FEE DUE					\$ 596.00

**PAYMENT OF FEES**

The full fee due in connection with this communication is and is provided as follows:

\$ 596.00

— The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to Deposit Account No: 500482. A duplicate copy of this authorization is enclosed.

X A Check No. 1028 for the above-specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to Deposit Account No: 500482. A duplicate copy of this authorization is enclosed.

**NO 18 MONTHS PUBLICATION**

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b) (2) (B) (i)**

X I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen month after filing. I hereby request that the attached application not to be publish under 35 U.S.C. 122(b).

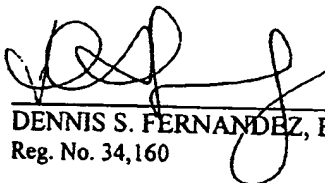
This application is filed pursuant to 37 C.F.R. 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following Custom Number and Address:

Customer No: 22877

**FERNANDEZ & ASSOCIATES, LLP**  
PATENT ATTORNEYS  
PO BOX D  
MENLO PARK, CA 94026-6204  
(650) 325-4999  
(650) 325-1203: FAX  
EMAIL: [iploft@iploft.com](mailto:iploft@iploft.com)

Respectfully submitted,

  
DENNIS S. FERNANDEZ, ESQ  
Reg. No. 34,160

03/11/04  
Date

The Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTN: Mail Stop Patent Application

Re: U.S. Utility Patent Application  
Appl. No. (Not yet assigned); Filed 03/11/2004  
For: **Fiber to Chip Coupler**

Inventor(s): **Gunn III, et al.**  
Docket No.: **LUX-P026**

Sir:

The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:

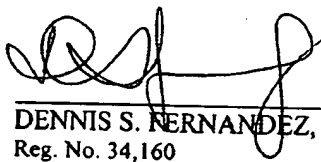
1. U.S. UTILITY APPLICATION  
entitled: **Fiber to Chip Coupler**  
having named inventor(s):  
**Gunn III, et al.**
  - a. a specification consisting:
    - (i) 14 pages prior to the claims, including title page;
    - (ii) 10 pages of claims;
    - (iii) 1 page abstract;
  - b. 4 sheets of informal drawings: (FIGs. 1-5);
2. An original, executed Combined Declaration and Power of Attorney by named inventors;
3. Form PTO-1082 (in duplicate);
4. Cover letter for Assignment (Form PTO-1595)
5. An original, executed Assignment to **LUXTERA, INC.**, executed by named inventors, recordation of which is hereby requested;
6. Request and Certification Form PTO/SB/35 (11-00);
7. Check No. 1028 For \$ 596.00 to cover:

Patent application filing fee:	\$ 385.00
Assignment Recordation fee:	\$ 40.00
Excess claims fee:	\$ 171.00
8. Application Data Sheet( 5 sheets); and
9. A return post card

It is respectfully requested that the attached postcard be stamped with the filing date of the above documents and unofficial application number and returned to the addressee as soon as possible.

Respectfully submitted,

03/11/04  
Date

  
DENNIS S. FERNANDEZ, ESQ  
Reg. No. 34,160

**FERNANDEZ & ASSOCIATES, LLP**  
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